

# Chapter 423

1991 EDITION

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**GENERAL PROVISIONS**

**423.010 Definitions for ORS 423.010 to 423.070.** As used in ORS 423.010 to 423.070, unless the context requires otherwise:

(1) "Director" means the Director of the Department of Corrections.

(2) "Department" means the Department of Corrections.

(3) "Juvenile training school" means the Hillcrest School of Oregon, the MacLaren School for Boys and any other school established by law for similar purposes, and includes any camps maintained under ORS chapter 420 for students of such schools.

(4) "Department of Corrections institutions" has the meaning found in ORS 421.005. [1965 c.616 §1; 1969 c.597 §96; 1983 c.505 §13; 1987 c.320 §210]

**423.020 Department of Corrections; duties and powers.** (1) The Department of Corrections is created. The department shall:

(a) Supervise the management and administration of the Department of Corrections institutions, parole and probation services, community corrections and other functions related to state programs for corrections;

(b) Carry out legally mandated sanctions for the punishment of persons committed to its jurisdiction by the courts of this state;

(c) Exercise custody over those persons sentenced to a period of incarceration until such time as a lawful release authority authorizes their release;

(d) Provide adequate food, clothing, health and medical care, sanitation and security for persons confined;

(e) Provide persons who are motivated, capable and cooperative with opportunities for self-improvement and work;

(f) Conduct investigations and prepare reports for release authorities; and

(g) Supervise persons sentenced or placed in the community for the period of time specified and in accordance with conditions of supervision ordered by the release authority.

(2) The Department of Corrections may provide consultation services related to the criminal justice system to local or statewide public or private agencies, groups, and individuals, or initiate such consultation services. Consultation services shall include, but not be limited to, conducting studies and surveys, sponsoring or participating in educational programs, and advising and assisting these agencies, groups or individuals. Nothing in chapter 320, Oregon Laws 1987, is intended to diminish the state's efforts to plan, evaluate and deliver effective human services

programs to offenders, either in an institution or on probation or parole. Therefore, the Department of Corrections and the Department of Human Resources shall continue to jointly develop and implement needed social and rehabilitative services, including services for inmates housed in regional minimum security facilities.

(3) The Department of Corrections shall be the recipient of all federal funds paid or to be paid to the state to enable the state to provide corrections programs and services assigned to the Department of Human Resources before June 15, 1987. [1965 c.616 §2; 1967 c.352 §1; 1967 c.585 §6; 1969 c.597 §98; 1971 c.401 §107; 1987 c.320 §1]

**Note:** The Legislative Counsel has not, pursuant to 173.160, undertaken to substitute specific ORS references for the words "chapter 320, Oregon Laws 1987." Chapter 320, Oregon Laws 1987, enacted into law and amended the ORS sections which may be found by referring to the 1987 Comparative Section Table located in Volume 15 of Oregon Revised Statutes.

**423.025** [1969 c.597 §§97, 137; repealed by 1971 c.319 §11]

**423.027** [1969 c.597 §111; 1975 c.605 §21; repealed by 1985 c.565 §66]

**423.030 Department not limited by ORS 423.020.** The enumeration of duties, functions and powers in ORS 423.020 is not exclusive nor intended as a limitation on the powers and authority vested in the Department of Corrections by other provisions of law. [1965 c.616 §3; 1969 c.597 §99; 1987 c.320 §211]

**423.035 Application of ORS 409.130 (1).** ORS 409.130 (1) applies to the Department of Corrections. [1987 c.781 §2]

**Note:** 423.035 was enacted into law by the Legislative Assembly but was not added to or made a part of ORS chapter 423 or any series therein by legislative action. See Preface to Oregon Revised Statutes for further explanation.

**423.040** [1965 c.616 §4; 1967 c.7 §39; 1969 c.597 §100; 1971 c.319 §7; repealed by 1987 c.320 §246]

**423.050** [1965 c.616 §5; repealed by 1987 c.320 §246]

**423.060** [1965 c.616 §6; 1969 c.597 §101; repealed by 1987 c.320 §246]

**423.070 Deposit and disbursement of funds received under Western Interstate Corrections Compact.** All funds received by this state or by the Department of Corrections under a lawful contract with another party to the Western Interstate Corrections Compact made in compliance with Article III thereof, shall be paid into the State Treasury. The Director of the Department of Corrections shall expend these funds in compliance with the contract. [Formerly 179.122; 1987 c.320 §212]

**423.075 Director; appointment; duties.** (1) The Department of Corrections shall be under the supervision and control of a director who is responsible for providing for programs for the delivery to the public of the

services assigned to the department, and for undertaking long-range planning necessary for the effective and efficient delivery of these services.

(2) The Governor shall appoint the director for a term of four years, but the director may be removed at any time during such term at the pleasure of the Governor. The appointment of the director is subject to confirmation by the Senate in the manner provided in ORS 171.562 and 171.565.

(3) The director shall receive such salary as may be provided by law or, if not so provided, as may be fixed by the Governor, and shall be reimbursed for all expenses actually and necessarily incurred by the director in the performance of official duties.

(4) The director may appoint a deputy director, whose appointment is subject to approval by the Governor and who shall serve at the pleasure of the director. The deputy director shall have full authority to act for the director, subject to directions of the director. The appointment of the deputy director shall be by written order, filed with the Secretary of State.

(5) The Director of the Department of Corrections shall:

(a) For purposes of administration and control, and with the approval of the Governor, organize and reorganize the department in whatever manner the director deems necessary to conduct the work of the department.

(b) Appoint all subordinate superintendents, officers and employees, whether classified or unclassified, of the department, prescribe their duties and fix their compensation, subject to applicable provisions of the State Personnel Relations Law.

(c) Delegate to departmental employees such responsibilities and authority as the director determines to be necessary.

(d) Provide for the safety of all prisoners in the custody of the department and may adopt rules for the government and administration of the department. [1987 c.320 §§2, 3]

**Note:** 423.075 was enacted into law by the Legislative Assembly but was not added to or made a part of ORS chapter 423 or any series therein by legislative action. See Preface to Oregon Revised Statutes for further explanation.

**423.076 Director's authority to grant peace officer power to corrections officer.**

(1) The Director of the Department of Corrections may grant to an individual corrections officer or classification of corrections officer all the powers and authority of a peace officer over inmates.

(2) A corrections officer granted the authority of a peace officer under subsection (1) of this section shall have the authority to:

(a) Prevent an escape from the grounds of a correctional facility by an inmate; and

(b) Go beyond the grounds of a correctional facility to:

(A) Pursue an inmate if the inmate is in the act of escaping from a correctional facility;

(B) Search for an inmate if the inmate is in the act of escaping from a correctional facility; and

(C) Recapture an inmate if the inmate is in the act of escaping from a correctional facility.

(3) A corrections officer who has been granted the authority of a peace officer under subsection (1) of this section shall retain the authority until the law enforcement agency having general jurisdiction over the area in which the escape or attempted escape of the inmate took place assumes responsibility for recapture of the inmate.

(4) The Department of Corrections shall inform the appropriate law enforcement agency of an escape or attempted escape of an inmate as soon as is reasonably practicable.

(5) As used in this section, "inmate" means a person sentenced to a period of incarceration in a prison or other correctional facility until such time as a lawful release authority authorizes the release of the person. [1991 c.879 §1]

**423.080** [1967 c.564 §§8, 9; repealed by 1969 c.597 §281]

**423.085 Administrator of Correctional Education.** (1) The Director of the Department of Corrections shall appoint an unclassified employee to the position of Administrator of Correctional Education.

(2) The Administrator of Correctional Education shall be employed full-time with authority over, and responsibility for, statewide corrections education programs. The administrator shall:

(a) Chair the Corrections Education Advisory Committee created in ORS 421.081;

(b) Plan, design and implement the correctional education programs required in ORS 421.081; and

(c) Recommend to the Director of the Department of Corrections rules as necessary to carry out the responsibilities of the office of Administrator of Correctional Education.

(3)(a) The Department of Corrections, through the Administrator of Correctional Education, may negotiate contracts with organizations and agencies to implement the provisions of ORS 421.081, 421.084 and this section. The Department of Corrections, in discharging its duties under this section,

shall honor provisions of existing collective bargaining agreements with current employees of the department that provide for contracting out.

(b) All moneys appropriated to the Department of Corrections for general, professional and technical education instruction shall be expended only for those purposes. [1977 c.435 §7; 1987 c.320 §213; 1989 c.363 §4; 1991 c.855 §1]

**423.090 Establishment or designation of diagnostic facilities.** The Department of Corrections may establish or designate facilities to be used for diagnostic purposes for such categories of persons as the department may by rule assign to the facility. Such assignments shall not exceed 60 days in duration. [1967 c.585 §1; 1987 c.320 §214]

**423.100 Revolving fund.** (1) On written request of the Department of Corrections, the Executive Department shall establish a revolving fund of not to exceed \$15,000, including unreimbursed advances, by drawing warrants on amounts appropriated to the Department of Corrections for operating expenses. The revolving fund shall be deposited with the State Treasurer, to be held in a special account against which the department may draw checks.

(2) The revolving fund established under subsection (1) of this section may be used by the department to pay for:

(a) Travel expenses for employees of the department and for any consultants or advisors for whom payment of travel expenses is authorized by law, or advances therefor;

(b) Purchases not exceeding \$100 each, which may be required from time to time;

(c) Receipt or disbursement of federal funds available under federal law;

(d) Emergency expenses of indigent inmates released on any form of temporary release or transitional leave; or

(e) Settlement of legal claims against the department in cases where immediate payment is necessary or advisable.

(3) The revolving fund shall be reimbursed by warrants drawn by the Executive Department upon the verified claims of the department charged against the appropriate fund or account. [1973 c.818 §5; 1974 s.s. c.13 §6; 1987 c.320 §215; 1989 c.790 §64]

**423.205** [1969 c.177 §7; repealed by 1985 c.44 §2 and 1985 c.558 §9]

**423.210** [1967 c.572 §1; 1969 c.177 §4; repealed by 1985 c.44 §2 and 1985 c.558 §9]

**423.220** [1967 c.572 §2; 1969 c.177 §1; 1973 c.212 §1; 1973 c.792 §15; repealed by 1985 c.44 §2 and 1985 c.558 §9]

**423.230** [1967 c.572 §3; 1969 c.177 §2; repealed by 1985 c.44 §2 and 1985 c.558 §9]

**423.240** [1967 c.572 §4; 1969 c.177 §3; repealed by 1985 c.44 §2 and 1985 c.558 §9]

**423.280** [1967 c.572 §5; repealed by 1985 c.44 §2 and 1985 c.558 §9]

## COURT SERVICES

**423.310 Administering court services.** In administering court services, as defined in ORS 3.250, the Oregon Community Children and Youth Services Commission shall:

(1) Assist and maintain liaison with counties and circuit courts in developing plans and programs relating to court services.

(2) Assist in locating qualified applicants and in making their names available to persons responsible for administering court services in the counties. [1967 c.534 §7; 1971 c.401 §108; 1981 c.171 §3]

**423.315 Policy.** It is declared to be the legislative policy of the State of Oregon to recognize county juvenile courts and departments as a basic foundation for the provision of services to children and their families and, with the limited amount of funds available, to assist counties in financing certain juvenile court-related services on a continuing basis. The purpose of ORS 423.310 to 423.350 is to provide basic grants to juvenile departments to assist them in the administration of court services as defined in ORS 3.250. [1981 c.171 §2]

**423.320** [1967 c.534 §8; 1971 c.401 §109; repealed by 1981 c.171 §7]

**423.330 Definitions for ORS 423.330 to 423.350.** As used in ORS 423.330 to 423.350, "state contribution" means the amount of money to which each county is entitled from the funds appropriated for the purposes of carrying out the provisions of ORS 423.330 to 423.350. [1969 c.498 §2; 1971 c.429 §2; 1981 c.171 §4]

**423.340 Financial aid to counties for court services.** (1) The state shall provide financial assistance to the counties which apply therefor by January 1 of the fiscal year from funds appropriated for that purpose for court services, as defined in ORS 3.250.

(2) Prior to April 1 of each odd-numbered year, the Oregon Community Children and Youth Services Commission shall determine each county's estimated percentage share of the amount to be appropriated for the purposes of this subsection. Such determination shall be based upon each county's respective share of resident juveniles under the age of 18.

(3) The numbers of resident juveniles under the age of 18 for each county shall be certified to the Oregon Community Children and Youth Services Commission by January 1 of each odd-numbered year by the Center

for Population Research and Census. [1969 c.498 §3; 1971 c.429 §3; 1981 c.171 §5]

**423.350 Court to comply with fiscal reporting procedures.** Any court with juvenile court jurisdiction that receives financial assistance under ORS 423.310 to 423.350 shall comply with fiscal reporting procedures as developed and approved by the Oregon Community Children and Youth Services Commission. [1969 c.498 §4; 1971 c.401 §110; 1971 c.429 §1; 1981 c.171 §6]

**423.360** [1969 c.498 §5; 1971 c.401 §111; repealed by 1981 c.171 §7]

### CORRECTIONS OMBUDSMAN

**423.400 Office established; appointment by Governor.** The office of Corrections Ombudsman is established in the office of the Governor. The Governor shall appoint the Corrections Ombudsman. [1977 c.378 §1]

**423.405 Qualifications for office; prohibited activities.** (1) The Corrections Ombudsman shall be a person of recognized judgment, objectivity and integrity who is qualified by training and experience to analyze problems of law enforcement, corrections administration and public policy.

(2) No person while serving as Corrections Ombudsman shall:

(a) Be actively involved in political party activities;

(b) Be a candidate for or hold other public office, whether elective or appointive; or

(c) Be engaged in any other full-time occupation, business or profession. [1977 c.378 §2]

**423.410 Term; reappointment.** The Corrections Ombudsman shall serve at the pleasure of the Governor for a term of four years. The Corrections Ombudsman may be reappointed for additional terms. [1977 c.378 §3]

**423.415 Deputy and additional officers and employees.** The Corrections Ombudsman may appoint a Deputy Ombudsman and any other subordinate officers and employees necessary to the performance of the duties of the ombudsman and shall prescribe their duties and fix their compensation. [1977 c.378 §4]

**423.420 General duties and powers.** The Corrections Ombudsman shall have the power:

(1) To investigate, on complaint or on the ombudsman's own motion, any action by the Department of Corrections or any employee thereof without regard to its finality;

(2) To adopt rules required for the discharge of the duties of office, including procedures for receiving and processing complaints, conducting investigations, and

reporting findings, not inconsistent with ORS 423.400 to 423.450;

(3) To examine by subpoena the records and documents of the Department of Corrections or any employee thereof;

(4) To enter and inspect without notice any premises under the jurisdiction of the Department of Corrections;

(5) To subpoena any person to appear, to give sworn testimony or to produce documentary or other evidence that is reasonably material to an inquiry;

(6) To undertake, participate in or cooperate with persons and agencies in such conferences, inquiries, meetings or studies as might lead to improvements in the functioning of the Department of Corrections;

(7) To bring suit in the Circuit Court for Marion County to enforce ORS 423.400 to 423.450;

(8) To establish and administer a budget for the office; and

(9) To strengthen procedures and practices which lessen the possibility that objectionable corrections actions will occur. [1977 c.378 §5; 1987 c.320 §216]

**423.425 Investigatory authority.** (1) The Corrections Ombudsman shall investigate, on complaint or on the ombudsman's own motion, any corrections action that is or is alleged to be:

(a) Contrary to or inconsistent with law or Department of Corrections practice;

(b) Based on mistaken facts or irrelevant considerations;

(c) Inadequately explained when reasons should have been revealed;

(d) Inefficiently performed; or

(e) Unreasonable, unfair, or otherwise objectionable, even though in accordance with law.

(2) Notwithstanding subsection (1) of this section, the Corrections Ombudsman may decide not to investigate because:

(a) The complainant could reasonably be expected to use a different administrative remedy or action;

(b) The complaint is trivial, frivolous, vexatious or not made in good faith; or

(c) The complaint has been too long delayed to justify present examination. [1977 c.378 §6; 1987 c.320 §217]

**423.430 Investigative priority; confidentiality of matters; charging fees prohibited.** The Corrections Ombudsman shall:

(1) Give priority to investigating administrative actions that are not otherwise re-

viewable by either administrative or judicial action;

(2) Treat confidentially all matters and the identities of the complainants or witnesses coming before the ombudsman; and

(3) Not levy any fees for the submission or investigation of complaints. [1977 c.378 §7]

**423.435 Recommendations following investigation; notice from Department of Corrections of action taken; notice to Legislative Assembly of recommended statutory changes.** (1) After investigation of any action, the Corrections Ombudsman shall state the recommendations and reasons if, in the ombudsman's opinion, the Department of Corrections or any employee thereof should:

- (a) Consider the matter further;
- (b) Modify or cancel any action;
- (c) Alter a rule, practice or ruling;
- (d) Explain more fully the administrative action in question;
- (e) Rectify an omission; or
- (f) Take any other action.

(2) If the Corrections Ombudsman so requests, the Department of Corrections shall, within the time specified, inform the ombudsman about the action taken on the recommendations or the reasons for not complying with them. After a reasonable period of time has elapsed, the Corrections Ombudsman may issue a report.

(3) If the Corrections Ombudsman believes that any action has been dictated by laws whose results are unfair or otherwise objectionable, and could be revised by legislative action, the ombudsman shall bring to notice of the Legislative Assembly any views concerning desirable statutory change. [1977 c.378 §8; 1987 c.320 §218]

**423.440 Letters between ombudsman and persons in custody; immunity of complainants and ombudsman; privilege against giving evidence or testifying.** (1) A letter to the Corrections Ombudsman from a person held in custody, including by detention, incarceration and hospitalization, by the Department of Corrections shall be forwarded immediately, unopened to the Corrections Ombudsman. A letter from the Corrections Ombudsman to such person shall be immediately delivered, unopened to the person.

(2) No person who files a complaint pursuant to ORS 423.400 to 423.450 shall be subject to any penalties, sanctions or restrictions because of such complaint.

(3) The Corrections Ombudsman and the staff of the office shall have the same immu-

nities from civil and criminal liabilities as a judge of this state.

(4) The Corrections Ombudsman and the staff of the ombudsman shall not be compelled to testify or produce evidence in any judicial or administrative proceeding with respect to any matter involving the exercise of their official duties except as may be necessary to enforce ORS 423.400 to 423.450. [1977 c.378 §9; 1987 c.320 §219]

**423.445 Witness rights; fees; expenses of state agency personnel.** (1) Any person required to testify under ORS 423.400 to 423.450 shall be accorded the same privileges and immunities, receive the same fees and mileage and be subject to the same penalties provided in ORS 183.440.

(2) The fees and mileage shall be paid by warrant upon the State Treasurer upon the certificate of the Corrections Ombudsman. No tender of witness fees or mileage in advance shall be necessary.

(3) Notwithstanding subsection (1) of this section, a representative of a state agency shall receive actual necessary traveling expenses only. [1977 c.378 §10]

**423.450 Contempt proceedings against person interfering with ombudsman.** If any person willfully obstructs or hinders the proper and lawful exercise of the Corrections Ombudsman's powers, or willfully misleads or attempts to mislead the Corrections Ombudsman in inquiries under ORS 423.400 to 423.450, the judge of the Circuit Court for Marion County, on application of the ombudsman, shall compel obedience by proceedings for contempt as in the case of disobedience of the requirements of a subpoena issued from such court or a refusal to testify therein. [1977 c.378 §11]

## COMMUNITY CORRECTIONS

**423.500 Definitions for ORS 423.500 to 423.560.** As used in ORS 423.500 to 423.560, unless the context requires otherwise:

(1) "Director" means the Director of the Department of Corrections.

(2) "Advisory board" means the Community Corrections Advisory Board created by ORS 423.510.

(3) "Department" means the Department of Corrections.

(4) "Plan" means the comprehensive community corrections plan required by ORS 423.535.

(5) "Program" means those programs and services described in ORS 423.525. [1977 c.412 §1a; 1979 c.160 §2; 1987 c.320 §220]

**423.505 Legislative policy on program funding.** It is declared to be the legislative

policy of this state to establish and finance with appropriations from the General Fund statewide community correction programs on a continuing basis. The intended purposes of this program are to:

- (1) Provide appropriate sentencing alternatives;
- (2) Provide improved local services for persons charged with criminal offenses with the goal of reducing the occurrence of repeat criminal offenses;
- (3) Promote local management of community corrections programs; and
- (4) Promote the use of the most effective criminal sanction necessary to administer punishment to the offender, rehabilitate the offender and protect public safety. [1977 c.412 §1; 1989 c.607 §1]

**423.510 Community Corrections Advisory Board; qualifications; terms; removal; compensation and expenses.** (1) There is hereby established the Community Corrections Advisory Board consisting of 15 members appointed by the Governor. The board shall be composed of:

- (a) Three persons representing community corrections agencies;
- (b) Two persons representing state agencies;
- (c) Two persons representing private agencies;
- (d) Four lay citizens;
- (e) A member of the judiciary;
- (f) A law enforcement officer;
- (g) One district attorney; and
- (h) One member of a county governing body.

(2) Members of the board shall serve for a period of four years at the pleasure of the Governor provided they continue to hold the office, position or description required by subsection (1) of this section. The Governor may at any time remove any member for inefficiency, neglect of duty or malfeasance in office. Before the expiration of the term of the member, the Governor shall appoint a successor whose term begins on July 1 next following. A member is eligible for reappointment. If there is a vacancy for any cause, the Governor shall make an appointment to become immediately effective for the unexpired term.

(3) A member of the board shall receive no compensation for service as a member, but all members may receive actual and necessary travel and other expenses incurred in the performance of their official duties within limits as provided by law or rule under ORS 292.220 to 292.250. [1977 c.412 §2; 1985 c.44 §3; 1985 c.558 §7]

**423.515 Duties and powers of Community Corrections Advisory Board.** The Community Corrections Advisory Board shall:

- (1) Advise the Director of the Department of Corrections in the participation of the department in ORS 423.500 to 423.560;
- (2) Advise the director in the formulation of standards and the adoption of rules for the establishment, operation and evaluation of community corrections;
- (3) Review plans of counties for participation under ORS 423.500 to 423.560 and make recommendations thereon to the local corrections advisory committee established pursuant to ORS 423.560;
- (4) Provide advice and assistance to the director in establishing the requisite qualifications to the managers of community corrections programs; and
- (5) Provide advice and assistance to the director in all other matters related to ORS 423.500 to 423.560. [1977 c.412 §4; 1987 c.320 §220a]

**423.520 Financial grants to counties from Department of Corrections.** The Department of Corrections shall make grants to assist counties in the implementation and operation of community corrections including, but not limited to, preventive or diversionary correctional programs, probation, parole, work release, and community corrections centers for the care and treatment of criminal defendants. [1977 c.412 §5; 1987 c.320 §221]

**423.525 Application for financial aid; rules for program evaluation; use of funds; community corrections manager; modification of plan.** (1) A county may apply to the Director of the Department of Corrections in a manner and form prescribed by the director for financial aid made available under ORS 423.500 to 423.560. The application shall include a community corrections plan. The director shall provide consultation and technical assistance to counties to aid in the development and implementation of community corrections plans.

(2) The director, with the advice of the Community Corrections Advisory Board, shall adopt rules prescribing minimum standards for the establishment, operation and evaluation of community corrections under a community corrections plan and other rules as may be necessary for the administration and implementation of ORS 423.500 to 423.560. The standards shall be sufficiently flexible to foster the development of new and improved supervision or rehabilitative practices.

(3) All community corrections plans shall comply with rules adopted pursuant to ORS 423.500 to 423.560, and shall include but need not be limited to:

(a) Proposals for correctional programs that demonstrate the need for the program, its purpose, objective, administrative structure, staffing, staff training, proposed budget, evaluation process, degree of community involvement, client participation and duration of the program;

(b) A provision that the correctional program shall be available only to misdemeanants, to parolees, to probationers, to offenders on post-prison supervision and to persons convicted of other than murder, treason or Class A felonies;

(c) The location and description of facilities that will be used by the county pursuant to ORS 423.500 to 423.560, including but not limited to halfway houses, work release centers and jails;

(d) The manner that probation, parole, post-prison supervision and other correctional services will be provided. Consideration shall be given to contracting with proven private correctional agencies;

(e) The manner in which counties that jointly apply for participation under ORS 423.500 to 423.560 will operate a coordinated community corrections program;

(f) Correctional services that will be made available to persons who are confined in local correctional facilities;

(g) The manner in which the local corrections advisory committee will participate in community corrections; and

(h) The projected field population of parolees, probationers and offenders on post-prison supervision.

(4) All community corrections plans shall provide that an adequate amount of the financial aid received under ORS 423.500 to 423.560 shall be used for staff training and that an adequate amount of the financial aid shall be used for evaluation of county correctional programs. The plan shall specify the manner in which these requirements shall be met.

(5) All community corrections plans shall designate a community corrections manager of the county and shall provide that the administration of community corrections under ORS 423.500 to 423.560 shall be under such manager.

(6) No amendment to or modification of an approved community corrections plan shall be placed in effect without prior approval of the director. [1977 c.412 §6; 1987 c.320 §222; 1989 c.790 §65]

**423.530 Procedure for determining amount of financial grants.** (1) Financial grants for community corrections pursuant to ORS 423.500 to 423.560 shall consist of:

(a) Payments from moneys appropriated to the Department of Corrections for the purposes of management, support services and supervision of parolees, probationers and offenders subject to post-prison supervision. The department shall determine, prior to July 1 of each odd-numbered year, each county's percentage share of the amount appropriated for the purposes of this subsection. Such determination shall be made by use of a workload formula adopted by the department by rule, which formula shall be in effect beginning July 1, 1991, and which formula shall include all parole and probation appropriations subject to review and comment by the Community Corrections Advisory Board before the rule becomes final. This determination shall be based upon the community supervision workload and the difficulty and cost of servicing that workload.

(b) Enhancement grants from the department for the purpose of providing community corrections services. The department shall determine, prior to July 1 of each odd-numbered year, each county's percentage share of the amount appropriated for the purposes of this subsection. Such determination shall be adopted by rule and shall be based upon statewide crime and demographic data certified by a state agency other than the Department of Corrections. The data shall be subject to review and comment by the Community Corrections Advisory Board before the determination of the department.

(c) Appropriations to counties pursuant to ORS 423.550 to 423.560 approved for local government corrections programs shall not be reduced by the department except by action of the Legislative Assembly or the Emergency Board. Such reductions shall be made proportionately using the applicable allocation formula.

(2) The department shall by rule provide for computation of each county's entitlement in each biennial period in the event participation by the county is for less than a biennial period. Such computation shall be based upon any actions approved by the Legislative Assembly relative to the timing of expenditures with respect to appropriations for purposes of subsection (1) of this section. [1977 c.412 §7; 1979 c.160 §1; 1985 c.708 §1; 1987 c.320 §223; 1989 c.613 §1; 1989 c.790 §66]

**423.535 Notice to director to participate in program; plan approval; contract for service by Department of Corrections.** (1) To receive moneys for the operation of the community corrections program authorized by ORS 423.500 to 423.560, the county

must notify the Director of the Department of Corrections 90 days prior to the proposed beginning date of participation. Such notification shall be by resolution of the appropriate board or boards of county commissioners.

(2) Prior to participation in the program, the county shall have a comprehensive community corrections plan approved by the department.

(3) The Department of Corrections, in consultation with the respective board of county commissioners, may use moneys which would have been made available to the county pursuant to ORS 423.530 (1) and (2) to provide the community corrections services described therein. In providing such services, the department may contract with public or private agencies for the provision of services to convicted felons. Any agreement to reimburse counties for the cost of providing services for felons shall include a provision that the department shall deduct from such reimbursement the cost incurred by the department of supervising misdemeanor probationers. [1977 c.412 §13; 1987 c.320 §224; 1989 c.613 §2]

**423.540 Program performance review by Director of Department of Corrections; standards; effect of failure to comply; prohibited use of program financial aid.** (1) The Director of the Department of Corrections shall periodically review the performance of counties participating under ORS 423.500 to 423.560. A county must substantially comply with the provisions of its community corrections plan and the operating standards established pursuant to ORS 423.525 (2) to remain eligible to participate. If the director determines that there are reasonable grounds to believe that a county is not in substantial compliance with the plan or operating standards, the director shall, after giving the county not less than 30 days' notice, conduct a hearing to ascertain whether there is substantial compliance or satisfactory progress being made toward compliance. After the hearing, the director, with the advice of the Community Corrections Advisory Board, may suspend any portion of financial aid made available to the county under ORS 423.500 to 423.560 until the required compliance occurs.

(2) Financial aid received by a county pursuant to ORS 423.530 shall not be used to replace moneys, other than federal or state funds, currently being used by the county for existing correctional programs for misdemeanants and shall not be used to develop, build or improve local correctional facilities as defined by ORS 169.005 (3). [1977 c.412 §8; 1979 c.487 §14; 1987 c.320 §225]

**423.545 Obligations of counties accepting financial aid; manner of termi-**

**nating participation; effect of termination.** (1) A county that accepts financial aid under ORS 423.500 to 423.560 shall assume responsibility for those correctional services, other than the operation of state institutions, presently planned or provided in the county by the Department of Corrections.

(2) Any county that receives financial aid under ORS 423.500 to 423.560 may terminate its participation at the end of any month by delivering a resolution of its board of commissioners to the Director of the Department of Corrections not less than 180 days before the termination date.

(3) If a county terminates its participation under ORS 423.500 to 423.560:

(a) The responsibility for correctional services transferred to the county pursuant to subsection (1) of this section and the remaining portion of the financial aid made available to the county under ORS 423.530 shall revert to the Department of Corrections.

(b) The facilities renovated or constructed with moneys made available under ORS 423.500 to 423.560 shall revert to the Department of Corrections, unless the county has participated for 20 continuous years in ORS 423.500 to 423.560 since the facilities were renovated or constructed. The county and the department may agree to permit the county to retain ownership in the facility in exchange for an agreement that the county will house specified persons under the jurisdiction of the department. [1977 c.412 §9; 1987 c.320 §226]

**423.550 Contract by counties for parole and probation supervision service; transfer of state personnel to county employment; reentry of transferred employees.** (1) When a county pursuant to ORS 423.500 to 423.560 assumes responsibility for any portion of correctional services previously provided by the Department of Corrections, the county and the department shall enter into an intergovernmental agreement that includes an approved local community corrections plan, program descriptions, budget allocation, performance objectives and method of evaluation for each correctional service to be provided by either the county or the department. Funds appropriated for the provision of these corrections services shall be apportioned between the department and the county as provided for in each intergovernmental agreement drawn to effect the purposes of ORS 423.505 and this section.

(2) Any state correctional field officer, immediate supervisor of such correctional officer or any supporting clerical personnel

whose job involves rendering services assumed by the county may transfer to employment by the county or may remain in the employment of the department and provide field services to the county under the terms of a contract for services between the county and the department. The county shall pay the department for any services rendered by such employees on an actual cost basis. Any such employee transferring to county employment under this section shall not suffer any reduction in salary or loss of employee benefits as a result of the transfer.

(3) Any such employees who transfers employment pursuant to subsection (2) of this section shall be entitled to reenter state employment within 30 days if the county to which the employee has transferred withdraws from participation under ORS 423.500 to 423.560 or if funds are not appropriated to carry out the purposes of ORS 423.500 to 423.560. [1977 c.412 §10; 1987 c.320 §227; 1989 c.607 §3]

Note: Sections 3 and 4, chapter 614, Oregon Laws 1989, provide:

Sec. 3. ORS 423.550 [as amended by section 3, chapter 607, Oregon Laws 1989] is amended to read:

423.550. (1) When a county pursuant to ORS 423.500 to 423.560 assumes responsibility for any portion of correctional services previously provided by the Department of Corrections, the county and the department shall enter into an intergovernmental agreement that includes an approved local community corrections plan, program descriptions, budget allocation, performance objectives and method of evaluation for each correctional service to be provided by either the county or the department. Funds appropriated for the provision of these corrections services shall be apportioned between the department and the county as provided for in each intergovernmental agreement drawn to effect the purposes of ORS 423.505 and this section.

(2)(a) Except as provided in paragraph (b) of this subsection, any state correctional field officer, immediate supervisor of such correctional officer or any supporting clerical personnel whose job involves rendering services assumed by the county may transfer to employment by the county or may remain in the employment of the department and provide field services to the county under the terms of a contract for services between the county and the department. The county shall pay the department for any services rendered by such employees on an actual cost basis.

(b) In any county having a population of 200,000 persons or more, at the discretion of the county, all state correctional field officers, immediate supervisors of such correctional officers and any supporting clerical personnel whose jobs involve rendering services assumed by the county shall transfer to county employment.

(c) Any such employee transferring to county employment under this section shall not suffer any reduction in salary or retirement eligibility. Any such employee shall be considered a transferred employee and shall be subject to the provisions of ORS 236.610 to 236.650.

(3) Any such employee who transfers employment pursuant to subsection (2) of this section shall be entitled to reenter state employment within 30 days if the county to which the employee has transferred withdraws from participation under ORS 423.500 to 423.560 or if funds are not appropriated to carry out the purposes of ORS 423.500 to 423.560. The employee shall reenter

state employment at the same status and seniority that the employee held prior to the transfer. The return transfer right shall be exercised in accordance with ORS 236.610 to 236.650 and the applicable collective bargaining agreement.

Sec. 4. The amendments to ORS 423.550 by section 3 of this Act apply only to counties that assume responsibility for correctional services pursuant to ORS 423.500 to 423.560 on or after the effective date of this Act [October 3, 1989].

**423.551 Resolution of employee transfer disputes.** Any disputes arising from employee transfers under ORS 423.550 shall be resolved in the manner provided by law for resolution of labor disputes by the Employment Relations Board. [1989 c.614 §5]

Note: 423.551 was enacted into law by the Legislative Assembly but was not added to or made a part of ORS chapter 423 or any series therein by legislative action. See Preface to Oregon Revised Statutes for further explanation.

**423.552 Alternatives to incarceration; policy.** It hereby is declared:

(1) There is a critical lack of community-based sanctions that are alternatives to incarceration.

(2) That as a matter of legislative determination, there is a necessity to develop and implement such alternatives. [1989 c.510 §2]

**423.553 Community Sanctions and Alternatives Fund.** (1) There is established in the State Treasury, separate and distinct from the General Fund, the Community Sanctions and Alternatives Fund. The fund shall be administered by the Department of Corrections. The Community Corrections Advisory Board shall review and recommend approval of applications for funding.

(2) The purpose of the fund shall be to promote the development of programs and facilities that provide alternative sanctions and structured programs in the community that protect society and prevent recidivism. [1989 c.510 §3]

**423.554 Funding eligibility.** (1) Eligibility for funding shall be limited to community-based organizations or individuals providing one or more of the following in a residential or nonresidential setting:

(a) Structured community sanctions for offenders.

(b) Drug and alcohol programs for at-risk offenders.

(c) Reentry programs for offenders leaving institutions.

(d) Preadjudication programs for persons in the criminal justice system.

(e) Other alternatives to incarceration.

(2) Although all programs that can show a likelihood of success are eligible for funding, the advisory board shall give priority to programs that:

(a) Have demonstrated past success in reducing recidivism; and

(b) Provide service to identifiable groups that have fewer resources than the general offender population.

(3) In awarding funding, the advisory board shall consider and seek to complement the approved local community corrections plan.

(4) The advisory board shall adopt rules regarding the requirements for proposals and the process for submitting proposals to the advisory board. [1989 c.510 §§4, 5]

**423.555 Statewide program evaluation and information system.** The Department of Corrections shall establish and operate a statewide evaluation and information system to monitor the effectiveness of correctional services provided to criminal defendants under ORS 423.500 to 423.560. [1977 c.412 §11; 1987 c.320 §228]

**423.560 Local corrections advisory committee; qualifications; duties.** (1) The board or boards of county commissioners of a county that is participating under ORS 423.500 to 423.560 shall designate a local corrections advisory committee. The committee shall include:

- (a) A law enforcement officer;
- (b) A district attorney;
- (c) A circuit court judge;
- (d) A public defender or defense attorney;
- (e) A probation or parole officer;
- (f) A representative of a private correctional agency, if a suitable agency exists in the county;
- (g) A county commissioner from each county;
- (h) Seven lay citizens, one of which shall be a member of a minority ethnic group if such a group exists in the county; and
- (i) An ex-offender.

(2) The committee shall actively participate in the design of the county's community corrections plan and application for financial aid, observe the operation of community corrections in the county, make an annual report and develop appropriate recommendations for improvement or modification to the county commissioners or community corrections manager of the county. [1977 c.412 §12]

**Note:** Section 2, chapter 607, Oregon Laws 1989, provides:

**Sec. 2.** Notwithstanding ORS 423.560, for the period beginning on the effective date of this Act [October 3, 1989] and ending July 1, 1993:

(1) The Director of the Department of Corrections, after consultation with the Community Corrections Advisory Board, shall evaluate the corrections services

provided by either the department or counties as provided for under ORS 423.525 (4) and shall report the results to the Sixty-sixth and Sixty-seventh Legislative Assemblies.

(2) Up to one-half percent of all funds appropriated for purposes of ORS 423.500 to 423.560 and the provision of probation and parole services shall be allocated for the purpose of conducting evaluations required by ORS 423.525 (4). [1989 c.607 §2]

**423.570 Monthly fee payable by person on supervised release; use; payment as condition of release; waiver.** (1) A person placed by an authority on probation, parole, post-prison supervision or other form of release, subject to supervision by either the Department of Corrections or, directly or indirectly, by a community corrections program established under ORS 423.500 to 423.560, shall be required to pay a monthly fee to offset costs of supervising the probation, parole, post-prison supervision or other supervised release.

(2) A person placed by an authority on probation, parole, post-prison supervision or other form of release, subject to supervision other than by either the Department of Corrections or a community corrections program established under ORS 423.500 to 423.560, may be required by the releasing authority to pay a monthly fee to offset costs of supervising the probation, parole, post-prison supervision or other supervised release.

(3) When a fee is required under subsection (1) of this section, the fee shall be determined and fixed by the releasing authority but shall be at least \$25, and if the releasing authority fails to establish the amount of a released person's required fee, the fee shall be \$25.

(4) Fees are payable one month following the commencement of probation, parole, post-prison supervision or other supervised release and at one-month intervals thereafter. Fees shall be collected as follows:

(a) If the released person is supervised under county authority, other than by the Department of Corrections, the county shall collect or provide by contract for the collection of the fee from the released person and shall retain the fee to be used by the county for funding of its community corrections program or, if it has no community corrections program, then for general governmental purposes.

(b) If the released person is supervised by the Department of Corrections, the department shall collect or provide by contract for the collection of the fee from the released person and shall retain the fee. Moneys received by the Department of Corrections are continuously appropriated to the Department of Corrections for use in financing department field services.

(5) Except in the case of a probation granted by a court before that date, the fee requirements imposed by this section apply beginning July 1, 1981, to all persons under supervised probation, parole, post-prison supervision or other form of supervised release pursuant to subsection (1) of this section, including persons on such supervised release in this state under any interstate agreement. Timely payment of the fee is hereby made a condition of such probation, parole, post-prison supervision or other supervised release. In the case of a probation granted by a court prior to July 1, 1981, the court may amend its order granting probation to provide for payment of the fee.

(6) In cases of financial hardship or when otherwise advisable in the interest of the released person's rehabilitation:

(a) The community corrections program director or the Director of the Department of Corrections, whichever is appropriate, or the designee thereof, may waive or reduce the amount of the fee.

(b) The sentencing court may waive or reduce the amount of the fee for any person whom the court has placed on probation. If any of the fee requirement is reduced by the court, only the court may restore the requirement. [1981 c.169 §1; 1983 c.252 §1; 1987 c.320 §229; 1989 c.497 §1; 1989 c.790 §67]

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#### CHAPTERS 424 AND 425

[Reserved for expansion]

